

# CHAPTER 1

## INTRODUCTION

An intensive field monitoring program was undertaken during the summer of 1990 to study the contribution of emission sources to ambient particulate concentrations and regional haze at Federal Prevention of Significant Deterioration (PSD) Class I areas in the state of Washington. These areas included the national parks, managed by the National Park Service (NPS), namely Mount Rainier, North Cascades, and Olympic, and the wilderness areas managed by the U.S. Forest Service (USFS), namely Pasayten, Glacier Peak, Alpine Lakes, Goat Rocks, and Mount Adams. The acronym for the Pacific Northwest Regional Visibility Experiment Using Natural Tracers study is PREVENT.

This document presents a summary of the field measurement program, a summary of the data gathered during the field study, and an analysis of the collected data. Documents that have been prepared that describe the historical data from the area, program planning, field study implementation, and collected data are:

Air Resource Specialists, Inc., "PREVENT Preliminary Field Program Plan,"  
April 1990.

-----, "PREVENT Program Plan," September 1990.

-----, "PREVENT Data Transmittal Report: Meteorological Data Collected From  
Operational Networks," December 1990.

-----, "PREVENT Photographic Data Transmittal Report," February 1991.

-----, "PREVENT Visibility and Meteorological Transmittal Data Report,"  
February 1991.

Latimer, D.A., "Preliminary Conceptual Study Plan for PREVENT," 1990.

University of California at Davis, "Aerosol Data Report."

The detailed information contained in the above reports will not be repeated in this report but only summarized.

## 1.1 OBJECTIVES OF PREVENT

The primary objectives of PREVENT were:

- 1) To determine the spatial and temporal patterns of aerosol concentration, chemical composition, and particle size; regional emissions; and light extinction and observed visual effects.
- 2) To determine estimates of the light extinction budgets for the summer period for Mount Rainier and North Cascades National Parks.
- 3) To apportion (or attribute) the summertime haze observed in Federal Class I areas in Washington to the regional emissions from all sources in the Pacific Northwest and British Columbia.
- 4) To determine the contributions from natural and man-made sources.

## 1.2 STUDY AREA

Figure 1-1 is a map of the PREVENT study area. Federal Class I areas are shaded. Also shown are the total SO<sub>2</sub> emissions (tons/year) for each of the counties in the study area. Circles, whose areas are proportional to the total county SO<sub>2</sub> emissions, are also plotted on the map. Figure 1-2 shows the percentage of total 1988 SO<sub>2</sub> emission by county. The county with the largest SO<sub>2</sub> emission is Lewis, and the Centralia Power Plant constitutes most of the County's emissions. The Centralia Power Plant is located approximately 75 km due west of the southwestern corner of Mount Rainier National Park. The estimated annual SO<sub>2</sub> emissions from both of Centralia's stacks is 67,698 tons per year, or approximately 52% of the entire state of Washington's SO<sub>2</sub> emissions. Most of the remaining SO<sub>2</sub> emissions in the state are in King (12%), Skagit (7.6%), Pierce (7.5%), and Whatcom (7.5%) counties, all of which are in the prevailing upwind direction from Washington's Class I areas.

Although Mount St. Helens has been a rather large, natural SO<sub>2</sub> source in the past, (in 1987 its daily variable emissions have been estimated to range from 1,800 to 9,100 tons per year) current annual emissions are estimated to be less than 5,000 tons per year. Thus its emissions are expected to be about 7.0% of the Centralia plant's SO<sub>2</sub> emissions.

Slash and prescribed burning is a forest management tool used throughout the area. These burns are conducted by federal land management agencies, states, Indian tribes, and Canadian provinces. Wildfires can also occur throughout the region, depending on weather conditions. Smoke from these fires can cause visibility reduction in the study area.

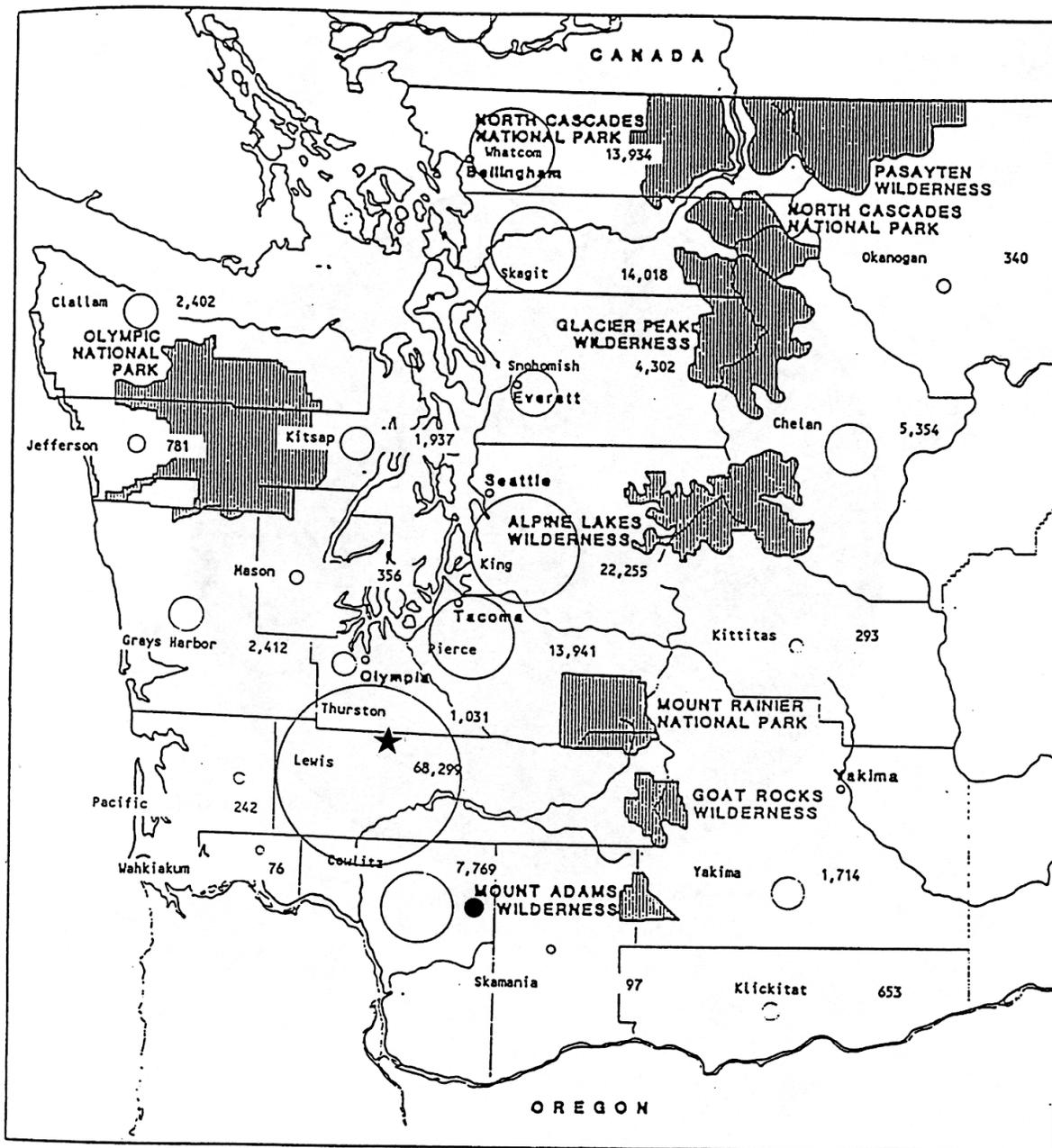


Figure 1-1. A map of the PREVENT study region. Federal Class I areas are shaded and the estimated 1988 SO<sub>2</sub> emissions (tons/year) are shown by county. Areas of circles shown are proportional to the 1988 SO<sub>2</sub> emissions estimated by the Washington Department of Ecology. ★ Centralia Power Plant ● Mount St. Helens

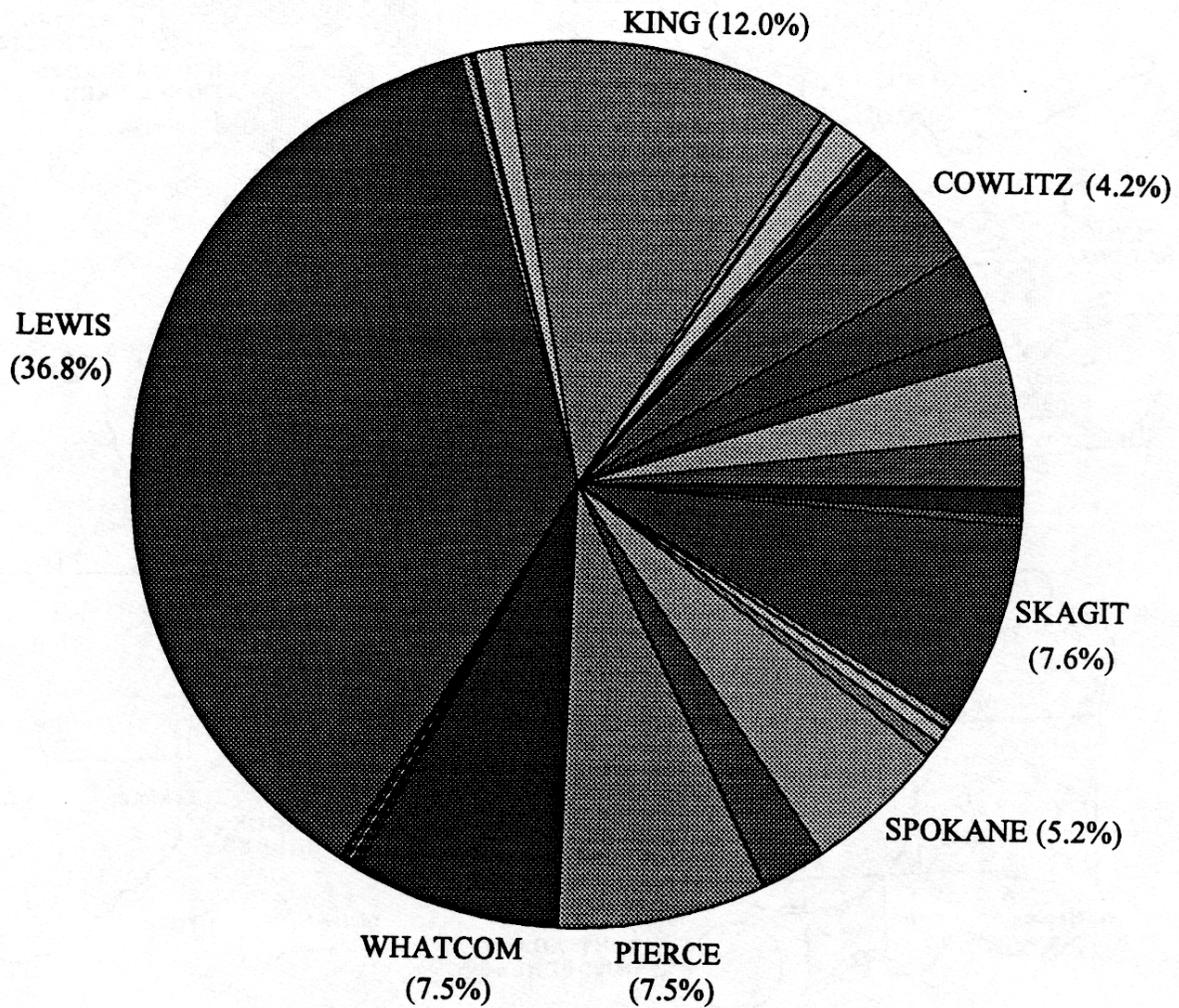


Figure 1-2. Percentage of total 1988 SO<sub>2</sub> emissions in the state of Washington by county. (Only the counties comprising 4.0% or more of the inventory are labeled; see Table 1-1 for emissions from all counties.)

### 1.3 REGULATORY BACKGROUND AND PRIOR STUDIES

In 1980, pursuant to the 1977 Clean Air Act, the Environmental Protection Agency (EPA) promulgated visibility protection regulations for national parks and wilderness areas that have been designated Federal Class I for Prevention of Significant Deterioration (PSD) of air quality. Among other things, these regulations required the states to develop programs to assure that reasonable progress was made toward the national visibility goal of remedying existing and preventing future visibility impairment.

Visibility data collected by the states of Washington and Oregon in the early 1980's clearly demonstrated that visibility is frequently impaired by man-made air pollution during the summer months when visitor use of Class I (and other) areas is at its peak. These studies indicated that regional haze constituted 70% of the impairment during daylight hours and that long-range transport of smoke from forest slash burning, often across state borders, was an important issue.

The Oregon Department of Environmental Quality (ODEQ) studied visibility impairment in Oregon's Class I areas.<sup>1</sup> Class I areas were found to be frequently impaired by uniform haze and, to a lesser extent, ground-based layered haze, with plume blight from coherent plumes very uncommon. During the 1982-84 period, one-third of the uniform or layered haze impacts were due to burning, and one-third from regional haze. Field and slash burning were found to be the principal contributing sources of man-made haze: 50% of the fine particle mass at Mount Hood was associated with slash burning while 20% originated from field burning. In the central Cascades, slash burning was estimated to contribute approximately 30% of the fine particle mass, while field burning contributed 25% to 30%. Interstate transport from Washington slash burning was found up to 25% of the time.

The Washington Department of Ecology (WA-DOE) has studied visibility since 1981.<sup>2,3,4</sup> Direct plume blight impacts were observed an average of 35 times a year during the summer months in or near western Washington's Class I areas. Simultaneous concentration maxima indicated that a regional haze was also common. A dominant fraction of the particle mass was carbon, probably associated with wood burning, while sulfate was the second most important species. Three tracer ratios were identified as having promise for future studies: K/Fe for forest burning, Cu/Pb for copper smelters, and Mn/V for coal-fired power plants.<sup>4</sup>

In order to obtain additional data regarding the regional haze issue in the Pacific Northwest, the states of Washington, Oregon, and Idaho, in cooperation with EPA Region X, the NPS and the USFS, embarked in 1984 on a major study, the Pacific Northwest Aerosol Mass Apportionment Study (PANORAMAS). This study was designed to:

- 1) define the geographical extent of regional haze in the region;
- 2) determine the frequency of haze episodes;
- 3) measure fine particle mass and chemical composition;
- 4) identify the sources of fine particle emissions;
- 5) conduct transport and trajectory analyses; and most importantly,
- 6) develop source-receptor relationships necessary to quantify source contributions to regional haze.

Monitoring of fine particle mass, light scattering coefficient ( $b_{scat}$ ), and standard visual range (SVR) was carried out between May and mid-November 1984. The dominant components of the fine particle mass that caused the regional haze were (in order of importance): organic carbon, sulfate, and the crustal elements (Si, Al, Fe, Ca, Ti). Field, slash, and wildfire burning was found to be the major source of summertime pollution in the Pacific Northwest, accounting for approximately 62% of the fine particle mass and 40% to 50% of the light extinction. Sources of sulfur dioxide (SO<sub>2</sub>) and primary sulfate responsible for the sulfate aerosol were the second most dominant cause of summertime haze, contributing 15% of the fine particle mass. Impacts were found to be episodic, resulting from local, subregional, and regional sources. One of the major suggestions to come from PANORAMAS was that "source apportionment to specific sources within the identified source categories" be carried out.<sup>5</sup>

In recent years, there has been a documented improvement in visibility both in Oregon and Washington. Core<sup>6</sup> reported that during the summer of 1988 substantial visibility impairment (defined as  $b_{ext} > 80 \text{ Mm}^{-1}$ ) was only 30% as frequent as in the 1982-1984 period. This improvement was attributed largely to the total ban on slash burning during the July 4 through Labor Day period, which was first fully implemented in 1987. Van Haren<sup>7</sup> has also reported a significant reduction in visibility impairment during the past five years at South Mountain and Dog Mountain. He reported that burning in western Washington has decreased significantly during the past decade. In the State of Washington, a weekend ban on burning during the period from July 4 to Labor Day was first implemented in 1983.

#### **1.4 EMISSIONS IN THE STUDY AREA**

Table 1-1 summarizes the emissions by county of total and fine particulates, sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>), and volatile organic compounds (VOC) estimated by the Washington Department of Ecology.<sup>8</sup> Table 1-2 summarizes state emissions of total and fine particulates released from agricultural and forest burning in 1984 for the PANORAMAS states.

Figure 1-3 shows the significant reduction in prescribed fire tonnages that have occurred during the past decade. From 1984 (the year of PANORAMAS) to 1988, total prescribed fire tonnages in western Washington have decreased 40% (from 769,000 tons to 462,000 tons). A comparable reduction in regional SO<sub>2</sub> emissions also took place during this time period, with the shutdown in March 1985 of the ASARCO Tacoma smelter, which was estimated to emit 143,000 tons/year of SO<sub>2</sub>.<sup>9</sup> One of the objectives of PREVENT was to study the effect of these significant changes in regional emissions from both burning and industrial sources of SO<sub>2</sub> that have occurred since 1984 when PANORAMAS was conducted.

Table 1-1. Emission inventory for chemical species (particulate, fine particulate, SO<sub>2</sub>, NO<sub>x</sub>, and VOC) relevant for visibility for the counties in the state of Washington. The data is from the Washington Department of Ecology (1990). Point source data represents 1988, and area source data represents early 1980's. Units are tons/year.

| County           | Total Particulate |       |        | Fine Particulate |       |        | SO <sub>2</sub> |        |        | NO <sub>x</sub> |       |        | VOC    |       |        |
|------------------|-------------------|-------|--------|------------------|-------|--------|-----------------|--------|--------|-----------------|-------|--------|--------|-------|--------|
|                  | Area              | Point | Total  | Area             | Point | Total  | Area            | Point  | Total  | Area            | Point | Total  | Area   | Point | Total  |
| Adams            | 1451              | 294   | 1745   | 877              | 0     | 877    | 176             | 0      | 176    | 1731            | 0     | 1731   | 1901   | 0     | 1901   |
| Asotin           | 987               | 21    | 1008   | 687              | 10    | 697    | 88              | 0      | 88     | 692             | 1     | 693    | 1329   | 2     | 1331   |
| Benton           | 4649              | 756   | 5405   | 3886             | 176   | 4062   | 1428            | 2018   | 3446   | 6093            | 2012  | 8105   | 9905   | 63    | 9968   |
| Chelan           | 2268              | 2460  | 4728   | 1765             | 1152  | 2917   | 487             | 4867   | 5354   | 3348            | 235   | 3583   | 5150   | 221   | 5371   |
| Clallam          | 2959              | 655   | 3614   | 2340             | 283   | 2623   | 866             | 1536   | 2402   | 4128            | 800   | 4928   | 5263   | 602   | 5865   |
| Clark            | 6262              | 2351  | 8613   | 5611             | 1051  | 6662   | 1807            | 3156   | 4963   | 9323            | 2355  | 11678  | 12663  | 1154  | 13817  |
| Columbia Heights | 417               | 0     | 417    | 286              | 0     | 286    | 42              | 0      | 42     | 444             | 0     | 444    | 618    | 0     | 618    |
| Cowlitz          | 4137              | 4715  | 8852   | 3522             | 2223  | 5745   | 1145            | 6624   | 7769   | 6903            | 6684  | 13587  | 9438   | 3130  | 12568  |
| Douglas          | 1293              | 336   | 1629   | 858              | 292   | 1150   | 134             | 912    | 1046   | 1164            | 274   | 1438   | 1700   | 615   | 2315   |
| Ferry            | 559               | 152   | 711    | 285              | 54    | 339    | 66              | 3      | 69     | 449             | 50    | 499    | 826    | 25    | 851    |
| Franklin         | 1765              | 141   | 1906   | 1329             | 69    | 1398   | 283             | 0      | 283    | 2704            | 0     | 2704   | 3746   | 1037  | 4783   |
| Garfield         | 738               | 50    | 788    | 450              | 0     | 450    | 33              | 0      | 33     | 409             | 0     | 409    | 1021   | 0     | 1021   |
| Grant            | 3011              | 82    | 3093   | 2228             | 0     | 2228   | 434             | 2      | 436    | 4528            | 1     | 4529   | 6284   | 158   | 6442   |
| Grays Harbor     | 4004              | 1902  | 5906   | 3221             | 701   | 3922   | 1464            | 948    | 2412   | 6926            | 600   | 7526   | 8106   | 616   | 8722   |
| Island           | 1278              | 90    | 1368   | 1043             | 0     | 1043   | 203             | 8      | 211    | 1669            | 42    | 1711   | 3007   | 27    | 3034   |
| Jefferson        | 1524              | 577   | 2101   | 1131             | 396   | 1527   | 402             | 379    | 781    | 1648            | 411   | 2059   | 3158   | 144   | 3302   |
| King             | 42111             | 2611  | 44722  | 0                | 1144  | 1144   | 20930           | 1325   | 22255  | 53633           | 3454  | 57087  | 80584  | 7385  | 87969  |
| Kitsap           | 4120              | 167   | 4287   | 0                | 59    | 59     | 1474            | 463    | 1937   | 4586            | 222   | 4808   | 9206   | 507   | 9713   |
| Kittitas         | 2302              | 262   | 2564   | 1748             | 97    | 1845   | 292             | 1      | 293    | 3382            | 84    | 3466   | 4864   | 57    | 4921   |
| Klickitat        | 1404              | 1203  | 2607   | 885              | 566   | 1451   | 143             | 510    | 653    | 1421            | 459   | 1880   | 1817   | 387   | 2204   |
| Lewis            | 4496              | 4510  | 9006   | 3643             | 3544  | 7187   | 601             | 67698  | 68299  | 5300            | 32091 | 37391  | 7632   | 557   | 8189   |
| Lincoln          | 1522              | 3     | 1525   | 480              | 0     | 480    | 120             | 0      | 120    | 1379            | 0     | 1379   | 1815   | 0     | 1815   |
| Mason            | 1435              | 206   | 1641   | 1088             | 48    | 1136   | 256             | 100    | 356    | 1902            | 113   | 2015   | 3783   | 39    | 3822   |
| Okanogan         | 7325              | 530   | 7855   | 5102             | 139   | 5241   | 335             | 5      | 340    | 3371            | 75    | 3446   | 8088   | 96    | 8184   |
| Pacific          | 1050              | 218   | 1268   | 781              | 148   | 929    | 242             | 0      | 242    | 1562            | 67    | 1629   | 2615   | 27    | 2642   |
| Pend Oreille     | 481               | 275   | 756    | 322              | 95    | 417    | 62              | 241    | 303    | 591             | 254   | 845    | 937    | 12    | 949    |
| Pierce           | 15485             | 3563  | 19048  | 0                | 1830  | 1830   | 9941            | 4000   | 13941  | 17943           | 2681  | 20624  | 41275  | 4392  | 45667  |
| San Juan         | 120               | 54    | 174    | 28               | 11    | 39     | 46              | 2      | 48     | 191             | 2     | 193    | 983    | 2     | 985    |
| Skagit           | 3089              | 1952  | 5041   | 2526             | 121   | 2647   | 843             | 13175  | 14018  | 5130            | 3725  | 8855   | 7141   | 5458  | 12599  |
| Skamania         | 2169              | 275   | 2444   | 1599             | 32    | 1631   | 91              | 6      | 97     | 973             | 58    | 1031   | 2536   | 33    | 2569   |
| Snohomish        | 10809             | 1516  | 12325  | 0                | 744   | 744    | 2088            | 2214   | 4302   | 11435           | 960   | 12395  | 26933  | 2021  | 28954  |
| Spokane          | 17312             | 2642  | 19954  | 14391            | 1221  | 15612  | 2597            | 7020   | 9617   | 17333           | 314   | 17647  | 32163  | 1701  | 33864  |
| Stevens          | 1263              | 1139  | 2402   | 769              | 504   | 1300   | 231             | 868    | 1099   | 1827            | 1469  | 3296   | 2290   | 585   | 2875   |
| Thurston         | 4883              | 138   | 5021   | 4276             | 42    | 4318   | 1022            | 9      | 1031   | 7101            | 19    | 7120   | 10992  | 407   | 11399  |
| Wahkiakum        | 334               | 55    | 389    | 215              | 23    | 238    | 76              | 0      | 76     | 641             | 0     | 641    | 727    | 0     | 727    |
| Walla Walla      | 2338              | 2966  | 5304   | 1589             | 1810  | 3399   | 299             | 350    | 649    | 2370            | 1169  | 3539   | 4349   | 266   | 4615   |
| Whatcom          | 3439              | 2471  | 5910   | 2887             | 1024  | 3911   | 1660            | 12274  | 13934  | 6424            | 4034  | 10458  | 9240   | 7842  | 17082  |
| Whitman          | 2404              | 824   | 3228   | 1547             | 159   | 1706   | 260             | 425    | 685    | 2536            | 647   | 3183   | 3176   | 7     | 3183   |
| Yakima           | 10508             | 6222  | 16730  | 7403             | 540   | 7943   | 1606            | 108    | 1714   | 10943           | 298   | 11241  | 16068  | 399   | 16467  |
| Totals           | 177701            | 48384 | 226085 | 80825            | 20308 | 101133 | 54273           | 131247 | 185520 | 214133          | 65660 | 279793 | 353329 | 39974 | 393303 |



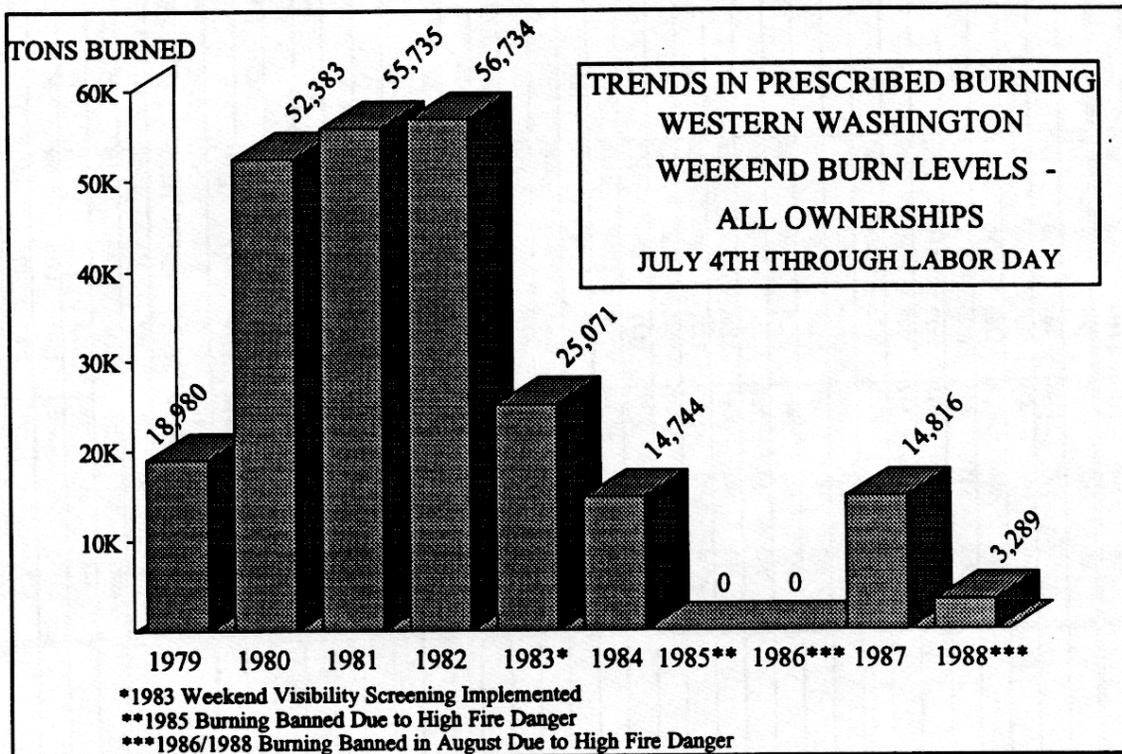
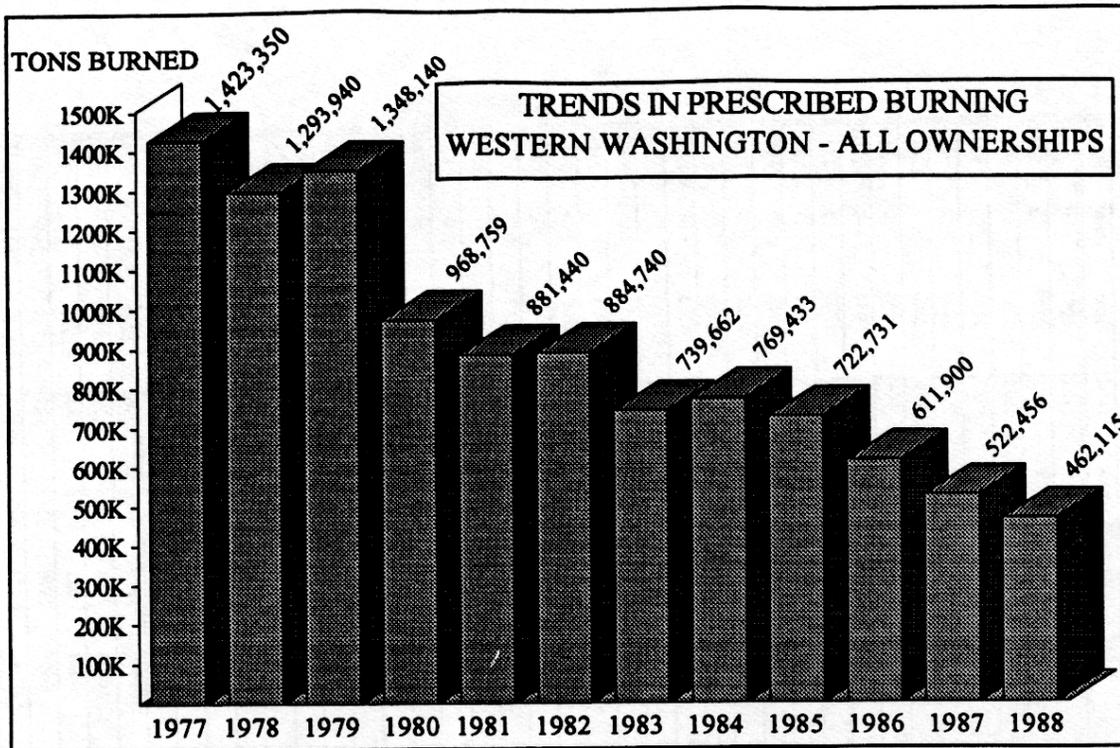


Figure 1-3. Trends in prescribed burning in western Washington, 1977-1988.  
(source: Van Haren<sup>7</sup>)

Table 1-2. Agricultural and forest burning emission inventory summary for the Pacific Northwest states. Units are tons/year. (Source: Beck & Associates<sup>5</sup>)

| Fire Type     | Oregon  |         | Washington |        | Idaho  |        |
|---------------|---------|---------|------------|--------|--------|--------|
|               | TSP     | Fine*   | TSP        | Fine   | TSP    | Fine   |
| Wildfires     | 12,182  | 9,746   | 7,330      | 5,864  | 42,727 | 34,182 |
| Slash Burning | 101,936 | 81,549  | 87,459     | 69,967 | 27,273 | 21,818 |
| Field Burning | 20,366  | 16,293  | 926        | 741    | 5,105  | 4,084  |
| Totals        | 134,484 | 107,588 | 95715      | 76,572 | 75,105 | 60,084 |

\*Fine particle fraction less than  $2.5\mu\text{m}$ ; estimated here as 80% of the total suspended particulate (TSP) emissions.

## 1.5 DATA ANALYSIS AND MODELING PROTOCOLS

In this section, the various data analyses and modeling techniques that were used on the PREVENT data set to meet the defined objectives are briefly described.

Apportionment of emissions to visibility, using receptor techniques, requires an underlying model. Because any model is an approximation of the underlying basic processes governing deposition, transport, and transformation, it is inherently an imperfect replica of reality. Therefore, to the degree possible, important assumptions should be explicitly listed, and when possible, the assumptions should be tested as to their effect on modeling results.

Visibility is more than specifying any one optical variable. The "seeing" of a landscape feature is dependent on the characteristics of that landscape as well as the optical properties of the atmosphere. The optical properties of the atmosphere are dependent on path radiance and atmospheric transmittance, which in turn are dependent on the extinction coefficient, volume scattering function, and illumination. The two variables that conceptually can be apportioned to emissions are the volume scattering function and extinction coefficient. However, because of the complexity associated with apportionment of the volume scattering function, all researchers to date have only attempted to apportion extinction, and from extinction, estimated path radiance using nominal volume scattering functions.

Apportionment of extinction to emissions is at least a two-step process: first, extinction must be apportioned to aerosols, and second, aerosols must be apportioned to sources.

The apportionment of extinction to aerosol species in and of itself is not an unambiguous process.<sup>10</sup> Whereas the sum of mass associated with individual species should equal gravimetric mass, the sum of scattering by individual species need not equal measured scattering. Because light scattered by a particle is dependent upon the size of the particle, it becomes almost nonsensical to talk about scattering by individual species when those species are mixed together to form a single

particle. Scattering by a mixture of particles is additive only when the index of refraction of the mixture is not a function of composition or size, and its density is independent of volume.<sup>11</sup> Nevertheless, it has become traditional to construct extinction budgets showing the extinction associated with each species.<sup>12</sup> In many, if not most studies, the sum of aerosol extinctions approximate measured extinction, and consequently assumptions may not have been grossly violated. The appropriateness of the apportionment scheme can only be judged within the context of whether the model is physically "reasonable," and whether independent apportionment of mass to extinction is consistent with measurements of scattering and absorption.

Further apportionment of extinction to an aerosol species from a specific source requires at least one further important assumption. The aerosol species attributed to a specific source has the same micro-physical-chemical characteristics as all other aerosol species of that type. For instance, the particle size distribution of ammonium sulfate attributed to source A is the same as all other sulfates found in the atmosphere. It is conceivable that removal of a fraction of "optically active" sulfur could disproportionately reduce sulfate scattering.

### 1.5.1 Light Extinction Budget

A light extinction budget is a breakdown of the total light extinction coefficient ( $b_{ext}$ ) into the contributions from individual light scattering and absorbing gases and particles. The light extinction budget is determined from collocated particle and optical monitoring devices.

The light extinction budget can be determined solely by empirical means, using multiple regression analysis to determine the model that best fits the data. This technique is the most commonly used. The regression yields values of  $a_o$  and  $b_i$  in the following equation:

$$b_{ext} = a_o + \sum b_i C_i \quad (1-1)$$

where  $a_o$  is the intercept (the light extinction without aerosol species  $i$ ),  $b_i$  is the light extinction efficiency of species  $i$ , and  $C_i$  is the ambient concentration of species  $i$ .

However, the hygroscopic properties of sulfate, nitrate and organic aerosols must be included to account for liquid water associated with these species at higher humidities. With the purely empirical technique, the  $b_i$  must be adjusted, based on relative humidity, for example:

$$b_i(RH) = b_i(RH = 0)/(1 - RH)^a \quad (1-2)$$

where  $RH$  is humidity expressed as a fraction (percent/100%) and the exponent  $a$  is commonly taken to be one.

In some cases, a more accurate light extinction budget can be estimated using theoretical fundamentals or by combining statistical and theoretical approaches (semi-empirical). For example, thermodynamic equilibrium considerations can be used to estimate the amount of liquid water associated with a given hygroscopic species as a function of relative humidity. However, sometimes this approach is quite uncertain because one may not know whether the aerosol is internally or externally mixed and to what extent hysteresis effects are occurring. If particle size information is available, Mie scattering calculations can be used to derive theoretical values for  $b_i$ .

For PREVENT, in order to determine the light extinction budget from collocated aerosol and optical measurements, a combination of empirical (statistical), semi-empirical, and theoretical approaches were used in parallel and intercompared.

### 1.5.2 Source Attribution Techniques and Models

Most visibility impairment is associated with secondary aerosols such as sulfates, nitrates, and secondary organics. Therefore, any visibility source apportionment scheme must address secondary as well as primary particles. Traditionally, the chemical mass balance (CMB) formalism has been used to apportion primary particles, and a number of review articles have addressed the associated assumptions.<sup>13-15</sup> It relies on known physical and chemical characteristic aerosols, such as ratios of tracer species, natural or man-made, at the receptors and sources to attribute aerosols to single sources or source types. Important assumptions associated with CMB are: composition of source emissions are known and constant; aerosol components do not react with each other (implicitly this means that the ratios of various tracer species associated with a source remain constant over time); the number of sources is less than the number of tracer species; and compositions of sources are linearly independent of each other. If any of these assumptions are violated, the apportionment is degraded.<sup>16-18</sup>

CMB can also be used to estimate a source contribution to secondary aerosols if additional assumptions are imposed: all primary gases are converted to the secondary aerosol of interest; the relative deposition of primary and secondary species is the same for all sources; the ratios of secondary aerosols to trace element species are equilibrated at constant values; the equilibrated ratios are in proportion to the relative emission rates of the primary gas; the net effect of these assumptions is to apportion the secondary aerosol in direct proportion to the apportioned primary mass; and the relative emission strength of each source. For instance, in a given sampling period, if 50% of the primary mass is associated with source A and source A emits 80% of all SO<sub>2</sub> emissions, then source A is estimated to contribute 40% of the ambient sulfate ( $0.5 \times 0.8 = 0.4$ ).

CMB modeling apportions aerosol species on a sampling-period-by-sampling-period basis. However, if the data set contains an adequate number of samples, regressional techniques, along with less restrictive assumptions, can be used to estimate apportionment of secondary species.<sup>19-21</sup> In a regressional approach, the secondary species is the dependent variable while the independent variables are tracers that are unique to a single source or group of sources. Assumptions associated with this approach are: the chemical species used as tracers are assumed to be uniquely emitted by non-overlapping groups of sources; the composition of source emissions are constant over the period of ambient sampling; deposition and conversion are constant from one sampling period to the next for each subgroup, but they don't have to be the same across all sources; and measurement errors are random, uncorrelated, and normally distributed. The derivation of the CMB and regressional formalism from first principles can be found in Appendix 1. Major assumptions are listed and methods of linearizing the inherently nonlinear models are discussed. The regressional models are referred to as tracer mass balance regression (TMBR) models.

It is highly unlikely that the regression coefficients are constant for all sampling periods. This will inflate the uncertainty in the final apportionments, but the extent to which the inflation

occurs will depend on how variable the coefficients are. Whitmore *et al.*<sup>22</sup> explores the effects of source emissions being collinear with each other; the effect of variability of deposition and conversion; and meteorological factors on apportionment of secondary aerosols to a single source. Under worst case assumptions, the relative error was about a factor of two, while the average relative error, for variation in the input variables chosen was less than one.

If emitted tracer species are not unique to a given source or source type, then CMB can be used to partition the ambient concentrations into components attributable to the various source groups.

Hybrid models that combine elements of deterministic and receptor oriented models can be employed.<sup>19,23-25</sup> In this approach, dispersion is accounted for by rationing ambient trace material concentrations attributed to a source by known trace material release rates, while deposition and conversion are explicitly calculated. Assumptions associated with this approach are: the chemical species used as tracers are assumed to be uniquely emitted by non-overlapping groups of sources; the composition of source emissions are constant over the period of ambient sampling; and deposition and conversion rates are usually assumed to be first order and invariant in space and time. However, since transport time is explicitly taken into account, deposition and conversion can vary from one sampling period to the next.

Rates for deposition and conversion probably are not first order and invariant in space and time. For example, dry deposition will not occur until the plume has been mixed to the ground. Dry and wet deposition velocities are known to vary depending on atmospheric stability and on surface type. Conversion processes are dependent on other chemical species which vary in space and time. To date a systematic examination of the effect of assumptions associated with hybrid modeling has not been reported.

The objective of source attribution is to determine the fraction of each light-scattering or absorbing species contributed by a given source or source category. In PREVENT a variety of attribution techniques and models are used in parallel to:

- supplement each other;
- allow the strengths of one technique to offset the weaknesses and limitations of others; and
- reduce the overall uncertainties of the analysis.

For example, it has been recommended that both source (dispersion or deterministic) models and receptor models be used to expand the capabilities of each.<sup>26</sup> Elements of both receptor and deterministic models have been combined by Stevens and his colleagues at the EPA and by Malm and his colleagues at the NPS.<sup>27</sup> A review of the general mass balance equation and the derivation, along with associated assumptions, of CMB, TMBR, and hybrid models are presented in Appendix 1.

The next sections briefly discuss the specific analyses used in the PREVENT report to gain insight into the relationships between sources and visibility reducing aerosols.

### **1.5.2.1 Spatial and Temporal Analysis**

Although not truly a quantitative technique, spatial and temporal trend analysis can be used to determine whether it is likely that a local source or a combination of regional influences is dominating a given impact. If concentrations are relatively homogeneous throughout a region and maxima occur at roughly the same time, one might expect distant source contributions. However, if concentrations are quite nonuniform and maxima occur at different times, it would be difficult to postulate that long-range transport is the key contributor rather than local sources.

### **1.5.2.2 Empirical Orthogonal Function (EOF) Analysis**

This is a refinement of spatial and temporal trend analysis. EOF is a method of simplifying enormous quantities of spatial and temporal trends into a few simple patterns that are relatively easy to interpret. EOF analysis is a decomposition of a single data matrix (time x space) of ambient concentrations into two matrices: one which is independent of time (EOF by site); and one which is independent of space (time by EOF). Generally only a few EOFs are needed to explain most of the variance in concentrations in time and space, and EOF patterns can usually be interpreted in terms of known geographic distributions of emission sources.

### **1.5.2.3 Chemical Mass Balance (CMB) Modeling**

CMB consists of a least-squares solution to a set of linear equations which expresses the ambient concentration of a given species at a given receptor as a linear sum of products of source profile species and source contributions. The source profiles are the fractional amount of each species in the emissions from each source type. Since different source categories can have quite different profiles, one can distinguish the contributions of individual species. Traditionally, CMB has been applied solely to conservative species (i.e., those that do not form in the atmosphere); however, there has been recent work that has attempted to combine elements of deterministic models and CMB to assess the contributions of source categories to secondary sulfate.

### **1.5.2.4 CMB Regression Analysis**

Tracer mass balance regression (TMBR) is a multiple-regression-based model which may be used to apportion an aerosol species of interest measured at a receptor site to the various contributing sources. In this report CMB is used to apportion trace elements to sources and the apportioned trace elements, or relative source strengths, are then used in a TMBR analysis to make source apportionment estimates. This approach will be referred to as CMB regression analysis.

### **1.5.2.5 Trajectory Analysis**

Wind field models are used to develop forward trajectories from sources and backward trajectories from receptor sites. These trajectories are used to examine validity of CMB and TMBR results, and are statistically analyzed to identify source areas associated with various tracers of opportunity and visibility reducing aerosols.

## 1.6 DATA REQUIREMENTS FOR ANALYSIS PROTOCOLS

The specific data needs for the analysis techniques described in the previous section dictated the requirements for ambient measurements during PREVENT and the collection of data from other networks. Table 1-3 presents the major measurement and data collection categories employed for PREVENT, and which analyses require the given data as input or as useful corroboration. Figure 1-4 presents a flow diagram of the interrelationships between ambient measurements and visibility cause-effect conditions that were studied. These categories are:

- 1) ambient concentrations ( $\text{SO}_4^{=}$ ,  $\text{NO}_3^-$ , organic and elemental carbon, total fine and coarse mass, trace metals,  $\text{SO}_2$ ,  $\text{NO}_x$ ,  $\text{HNO}_3$ ,  $\text{O}_3$ , etc.);
- 2) atmospheric optical parameters ( $b_{ext}$ ,  $b_{scat}$ ,  $b_{abs}$ );
- 3) meteorology (upper air winds, temperature structure, humidity, clouds, precipitation);  
and
- 4) emissions (power plant load, hourly emissions, source profiles, including natural and artificial tracers, regional emission inventory).

Table 1-3. Measurement and data collection required for PREVENT as input for the various proposed analysis techniques for source attribution and light extinction budgets.

| Measurements/Data  | Spatial/Temporal Trends<br>Emp. Orth. Func. (EOF)                    | Chemical Mass<br>Balance (CMB) | Tracer Mass<br>Balance (TMBR) | Deterministic Models<br>Based On Windfields  | Light Extinction<br>Budget   |
|--|--|--------------------------------|-------------------------------|--|--|
| <b>AMBIENT CONCENTRATIONS</b>  |  |                                |                               |  |  |
| Dense network of 24-hour avg. SO <sub>4</sub> concentrations   | Essential  | Not needed but useful          | Not necessary                 | Useful   | Not needed   |
| 12- or 24-hour avg. concentrations of all key gases, aerosols, and tracers (natural and artificial) at 3 or 4 receptors (e.g., 3 parks).                                   | Not needed   | Essential                      | Essential                     | Not necessary, but useful  | Essential  |
| Size distribution of ambient aerosol   | Not needed   | Not needed                     | Not needed                    | Not necessary, but useful for determining whether secondary sulfate is formed from gas- or aqueous-phase processes | Useful to calculate light scattering efficiencies                        |
| Concentrations of key oxidants (e.g., H <sub>2</sub> O <sub>2</sub> , O <sub>3</sub> , and catalysts)  | Not needed   | Not needed                     | Not needed                    | Essential  | Not needed   |
| <b>ATMOSPHERIC OPTICAL PARAMETERS</b>  |  |                                |                               |  |  |
| 12- or 24-hour avg. $b_{ext}$ , $b_{abs}$ , and $b_{scat}$   | Not needed   | Not needed                     | Not needed                    | Useful   | Essential  |
| Color slides and/or time-lapse movies to record key vistas and appearance of haze and plumes and cloud and fog conditions  | Not needed   | Not needed                     | Not needed                    | Useful to characterize whether aqueous-phase chemistry is occurring  | Useful to identify periods of natural visibility impairment              |
| <b>METEOROLOGICAL PARAMETERS</b>   |  |                                |                               |  |  |
| Upper-air (at plume height and throughout mixed layer) winds, temperature, and $RH$ at sufficient density to characterize complex terrain effects and temporal variability | Not necessary, but useful to interpret spatial and temporal patterns | Not necessary                  | Not necessary, but useful     | Essential  | Not necessary, but helpful in interpreting sources of components of haze |
| Precipitation amount   | Not needed   | Not needed                     | Not needed                    | Needed to quantify wet deposition  | Useful to identify periods of natural visibility impairment              |

Table 1-3. continued.

| Measurements/Data  | Spatial/Temporal Trends<br>Emp. Orth. Func. (EOF) | Chemical Mass<br>Balance (CMB)                 | Tracer Mass<br>Balance (TMBR)                  | Deterministic Models<br>Based on Windfields | Light Extinction<br>Budget |
|--|---|--|--|---|----------------------------|
| <b>EMISSIONS</b>   |   |  |  |   |                            |
| Hourly emissions of SO <sub>2</sub> , NO <sub>x</sub> , and fly ash at the Centralia Power Plant. Also boiler load and stack parameters.                   | Not needed  | Not needed                                     | Not needed                                     | Essential                                   | Not needed                 |
| Aircraft measurement of near-stack plume for Centralia and other major source categories.  | Not needed  | Desirable, but existing profiles could be used | Desirable, but existing profiles could be used | Not needed                                  | Not needed                 |
| Emission inventory for all sources of SO <sub>2</sub> , NO <sub>x</sub> , VOC, and particulate, including fire episodes.                                   | Not necessary, but useful for interpretation      | Not needed                                     | Not needed                                     | Essential                                   | Not needed                 |
| <b>AIRCRAFT MEASUREMENTS OF PLUME CHEMISTRY</b>  |   |  |  |   |                            |
| Aircraft measurements of plume constituents to determine oxidation rates.  | Not needed  | Not needed                                     | Not needed                                     | Essential                                   | Not needed                 |
| Aircraft measurements of cloud water chemistry to determine concentrations of key species (e.g., pH, H <sub>2</sub> O <sub>2</sub> , and O <sub>3</sub> ). | Not needed  | Not needed                                     | Not needed                                     | Essential                                   | Not needed                 |

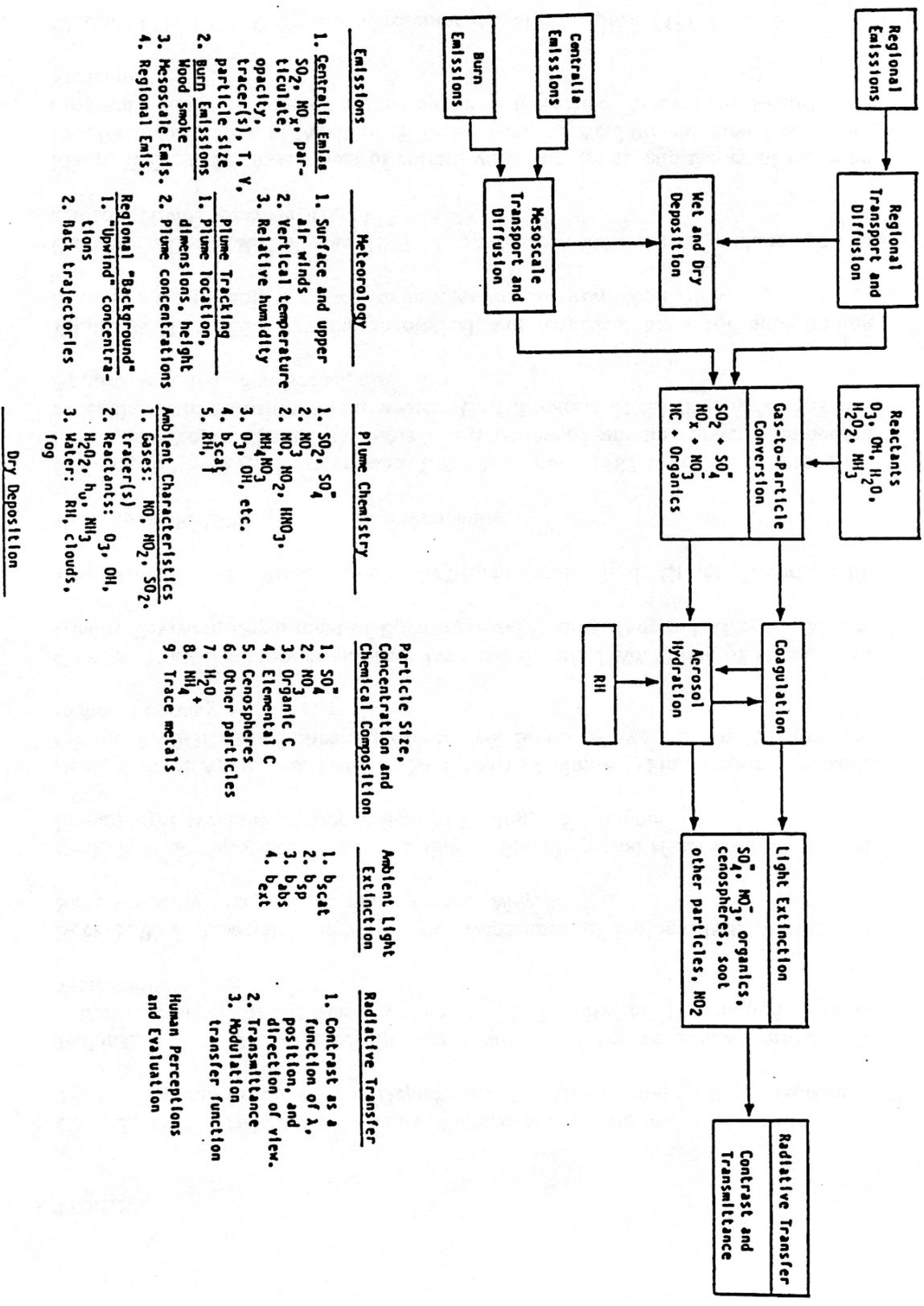


Figure 1-4. Categories of measurements and cause-effect relationships.

Emissions

1. Centralia Emiss.
  1. Surface and upper air winds
  2. Vertical temperature
  3. Relative humidity
2. Burn Emissions
  1. Plume tracking particle size
  2. Hood smoke
  3. Mesoscale Emiss.
  4. Regional Emiss.

Meteorology

1. Surface and upper air winds
2. Vertical temperature
3. Relative humidity
4. Plume tracking
5. Plume location, dimensions, height
6. Mesoscale Emiss.
7. Regional "background" concentrations
8. Back trajectories

Plume Chemistry

1.  $SO_2$ ,  $SO_4$
  2.  $NO_3$ ,  $NO_2$ ,  $HNO_3$ ,  $NH_4NO_3$
  3.  $O_3$ ,  $OH$ , etc.
  4.  $b_{scat}$
  5.  $RH$ ,
- Ambient Characteristics
1. Gases:  $NO$ ,  $NO_2$ ,  $SO_2$  (tracers)
  2. Reactants:  $O_3$ ,  $OH$ ,  $H_2O_2$ ,  $h\nu$ ,  $MH_3$
  3. Water:  $RH$ , clouds, fog

Particle Size, Concentration and Chemical Composition

1.  $SO_4$
2.  $NO_3$
3. Organic C
4. Elemental C
5. Cospheres
6. Other particles
7.  $H_2O$
8.  $NH_4^+$
9. Trace metals

Ambient Light Extinction

1.  $b_{scat}$
2.  $b_{sp}$
3.  $b_{abs}$
4.  $b_{ext}$

Radiative Transfer

1. Contrast as a function of  $\lambda$ , position, and direction of view.
  2. Transmittance
  3. Modulation transfer function
- Human Perceptions and Evaluation

Dry Deposition

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